Deep Analysis of Time Series Data for Smart Grid Startup Strategies: A Transformer-LSTM-PSO Model Approach

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ABSTRACT

Grid startup, an integral component of the power system, holds strategic importance for ensuring the reliability and efficiency of the electrical grid. However, current methodologies for in-depth analysis and precise prediction of grid startup scenarios are inadequate. To address these challenges, we propose a novel method based on the Transformer-LSTM-PSO model. This model uniquely combines the Transformer's self-attention mechanism, LSTM's temporal modeling capabilities, and the parameter tuning features of the particle swarm optimization algorithm. It is designed to more effectively capture the complex temporal relationships in grid startup schemes. Our experiments demonstrate significant improvements, with our model achieving lower RMSE and MAE values across multiple datasets compared to existing benchmarks, particularly in the NYISO Electric Market dataset where the RMSE was reduced by approximately 15% and the MAE by 20% compared to conventional models. Our main contribution is the development of a Transformer-LSTM-PSO model that significantly enhances the accuracy and efficiency of smart grid startup predictions. The application of the Transformer-LSTM-PSO model represents a significant advancement in smart grid predictive analytics, concurrently fostering the development of more reliable and intelligent grid management systems.

Keywords: Smart grid, time series data, Transformer-LSTM-PSO model, power system, in-depth analysis, prediction, reliability

1. Introduction

The power system is one of the indispensable infrastructure components in modern society, and smart grids represent a significant development direction in modern power systems. They achieve efficient, reliable, and environmentally friendly grid operation by integrating advanced information communication technology, automation technology, and new energy technology[1, 2]. In a smart grid, the concept of startup strategies refers to a series of strategies and technologies for safely and effectively initiating and operating the grid under different conditions, such as daily operation, emergency response, disaster recovery, etc[1, 3]. The main challenges faced by current startup strategies in smart grids include efficient integration and management of the increasing amount of

renewable energy sources[4, 5], ensuring grid stability and resilience in the face of extreme weather conditions and system failures[6], and handling and analyzing the growing volume of grid data to optimize operational strategies[7]. With the rapid development of artificial intelligence technologies, especially deep learning, more and more research efforts are focusing on using these technologies to address the issues related to startup strategies in smart grids. The advantages of deep learning in data processing and pattern recognition make it a powerful tool for analyzing complex grid systems. For instance, leveraging deep learning models enables researchers to enhance the precision of grid load and energy demand predictions, as well as the assessment of fault probabilities. Consequently, this facilitates the formulation of more efficacious grid startup and operational strategies.[8]. Additionally, time series forecasting plays a pivotal role in the research of smart grid startup strategies. Grid operation data, such as loads, supply, and weather conditions, often exhibit significant temporal correlations[9, 10]. Effective time series analysis and forecasting are crucial for developing precise grid startup strategies. Through time series forecasting, it becomes possible to more accurately anticipate the future state and demands of the grid, especially in predicting renewable energy output and grid load variations. This is indispensable for designing startup strategies capable of adapting to future changes and mitigating potential risks.

The research field of grid startup scenarios has made significant progress in recent years, especially in deep learning and time series forecasting. One study adopted a long short-term memory network (LSTM) model and focused on grid load forecasting[11]. This research uses the powerful ability of LSTM to process time series data to analyze and predict the grid load pattern to optimize grid startup and load deployment strategies. Nonetheless, the accuracy of this study in handling highly nonlinear and anomalous data still needs to be improved. Another study used a convolutional neural network (CNN) model and focused on the performance of power grids under extreme weather conditions[12]. Through CNN, the study identified and analyzed power grid data patterns related to extreme climate events, guiding power grid operation under extreme conditions[13]. However, the study is not accurate enough in predicting a few extreme events, which may lead to prediction errors in practical applications. Another work adopted a graph neural network (GNN) model to analyze the interactions between power grid nodes[14]. This research reveals the complex dependencies between nodes in the power grid through GNN, providing new insights into the overall stability of the power grid. However, the scalability and efficiency of this model in large-scale power grid systems have not been fully verified. The final study combines neural networks and reinforcement learning to optimize grid emergency start-up plans[15]. This model, which combines real-time learning and adaptation to grid dynamics, improves the efficiency and accuracy of emergency response. These studies have made important contributions to the optimization of power grid startup schemes, while also revealing their respective limitations. Current methods often struggle with the nonlinear and anomalous nature of grid data, face challenges in accurately predicting extreme events, and lack scalability and efficiency in large-scale systems. Therefore, it is crucial to develop specialized methods to ensure the safety and reliability of power grid startup strategies.

Building upon the limitations identified in previous research, the focus of this study is to

introduce the Transformer-LSTM-PSO network, an innovative hybrid model engineered to enhance the accuracy and efficiency of grid startup schemes. This model combines three key technologies: Transformer, LSTM network, and PSO algorithm. The Transformer manages intricate relationships and long-term dependencies in grid data, enhancing prediction accuracy. LSTM captures short-term dependencies and temporal correlations, ideal for time series data like load and supply-demand predictions. PSO optimizes the parameters of the Transformer and LSTM, enhancing training efficiency. The primary focus of this study is to address the limitations of previous models by improving prediction accuracy and training efficiency for complex grid data. Our transformer-LSTM-PSO network offers a comprehensive solution, improving prediction accuracy and adaptability in dynamic grid conditions. The PSO algorithm ensures optimal model performance in various scenarios, vital for efficient smart grid startup solutions. Our work pioneers innovative deep learning techniques, advancing predictive accuracy in smart grids and opening new research directions, addressing current limitations in the field.

The main contributions of this study are as follows:

- We propose an innovative Transformer-LSTM-PSO network model, which effectively integrates the long-term dependency processing capabilities of the Transformer, the short-term data analysis capabilities of LSTM, and the optimization mechanism of the PSO algorithm. Our model shows excellent performance in handling large-scale and intricate datasets from smart grids, especially in accurately predicting grid load and supply demand.
- Our research makes significant progress in time series forecasting of smart grid data. By in-depth
 analysis and utilization of the time attributes of power grid data, our model can more accurately
 predict short-term and long-term operating trends of the power grid, providing strong data
 support for effective management and emergency response of the power grid.
- Our research also provides a new methodological framework and new perspectives and ideas for future research on smart grid startup solutions. Our model can not only be applied to the current power grid system but also has good scalability and is suitable for the development of future power grid technology and the integration of new energy sources.

Overall, our work not only contributes at the technical level but also provides a new theoretical and practical basis for the future development of smart grids.

The remainder of this paper is structured as follows: Section 2 describes the methodology, Section 3 presents the experimental setup and results, Section 4 discusses these findings, and Section 5 concludes with a summary and future directions.

2. Related Work

2.1Utilization of Deep Learning in Power Systems

Deep learning has made significant progress in the field of power systems, and its applications have impacted many aspects of the power industry. By utilizing deep learning models, such as recurrent neural networks (RNN), long short-term memory networks (LSTM), etc.., power systems can achieve more accurate power load forecasting, thereby better planning power supply and reducing

energy waste[16, 17]. In addition, deep learning can also help with fault detection of power equipment. By analyzing equipment data, problems can be discovered and solved in advance, thus enhancing the reliability and safety of the power system[18]. Simultaneously, deep learning technology can also detect illegal electricity usage and help maintain the legality and fairness of the power system. For the integration of renewable energy, deep learning can provide more accurate predictions to optimize energy production and distribution[19, 20]. In summary, deep learning contributes to increased efficiency, reliability, and intelligence in power systems. This is crucial for meeting the rising demand for power and advancing sustainable energy development.

2.2 Application of Parameter Optimization Method in the Power Grid

In the domain of power grids, parameter optimization methods are deployed to enhance the system's performance, efficiency, and stability. These methods optimize grid system parameters to better adapt to diverse operational conditions, ensuring more reliable and efficient functionality[21, 22]. In power grid load flow calculations, parameter optimization methods play a crucial role in refining parameters associated with grid components, such as generator output power and transmission line impedance[23]. By doing so, they enable a more rational distribution of power flow throughout the grid, thereby enhancing transmission efficiency and stability. Similarly, in the realm of power grid planning, these methods are instrumental in determining parameters for newly constructed grid facilities, including the capacity of substations and the routing of transmission lines[24]. This optimization process ensures the optimal allocation of grid resources, resulting in improved power supply capacity and adaptability. Within the context of smart grids, parameter optimization methods are utilized to fine-tune the parameters of various smart devices [25, 26]. This optimization enables intelligent control of the grid system, leading to enhanced response speed and improved management efficiency. In the integration of renewable energy sources, parameter optimization methods focus on optimizing parameters of renewable energy devices, such as the blade angles of wind turbines and the tilt angles of photovoltaic arrays[27]. This optimization maximizes the utilization of renewable energy, thereby increasing the proportion of clean energy and improving environmental performance. Finally, in the domain of power grid operation control, parameter optimization methods are employed to optimize the parameters of various control devices. This optimization facilitates automation and intelligent operation of the grid system, resulting in improved operational efficiency and stability. In summary, parameter optimization methods offer promising applications in the power grid domain, driving advancements towards grid intelligence, cleanliness, and sustainability.

2.3 Application of Attention Mechanism in the Power Grid

In the field of power grids, the application of attention mechanisms is an emerging method aimed at improving the intelligence and performance of power grid systems. This mechanism mimics the way the human visual system works, by giving different weights to different parts of the input data, allowing the model to focus more on important information relevant to the task[28, 29]. In areas such as load forecasting, anomaly detection, equipment status monitoring, operation optimization, and

planning, attention mechanisms help models automatically identify and focus on crucial features, thereby improving prediction accuracy, anomaly detection precision, equipment status monitoring accuracy, operation efficiency, and planning decision accuracy[30, 31]. For example, in load forecasting, the attention mechanism can automatically capture the time series features that have the greatest impact on load changes, thus enhancing the accuracy of the forecast model for future loads[32]. The attention mechanism allows the model to prioritize data patterns associated with anomalies, thus enhancing both the sensitivity and accuracy of anomaly detection. [33, 34]. Therefore, the application of the attention mechanism provides new ideas and methods for the intelligent development of power grid systems, and helps promote the modernization and sustainable development of power grids. In power grid operation and management, the application of the attention mechanism is also a potential method, which can help the power grid system cope with complex operating environments and task requirements more effectively, and achieve smarter, more efficient, and more reliable power grid operation.

3. Method

3.1 Overview of Our Network

In this study, we develop a deep learning model for smart grid startup, to enhance the operational efficiency and reliability of the grid. The model combines the Transformer network, the long shortterm memory network (LSTM) and the particle swarm optimization (PSO) algorithm, with each part specifically optimized for different aspects of power grid data processing and prediction. The main function of the Transformer network is to handle long-term dependencies in power grid data. Its attention mechanism enables it to effectively identify and focus on key information points in the data, which is particularly important for predicting complex operating modes of the power grid. The LSTM network focuses on capturing short-term dependencies and time correlations in power grid data. It is particularly suitable for processing data that changes rapidly in the short term such as power grid load and supply demand, thus playing a key role in understanding and processing power grid time series data. The PSO algorithm is used to optimize the parameter configuration of Transformer and LSTM during the model-building process. By simulating the collective behavior of a flock of birds, it finds the optimal solution in the parameter space and improves the overall performance and training efficiency of the model. During the network construction process, we first thoroughly preprocessed the power grid data, including data cleaning, standardization, and segmentation, to ensure the quality and consistency of the data. Subsequently, we configured and initialized the parameters of the Transformer and LSTM network according to the specific needs and characteristics of the power grid, and trained and adjusted the model on the experimental data, in which the PSO algorithm was used to dynamically optimize the model parameters. This model is crucial for the implementation of smart grids, significantly enhancing operational efficiency and stability while predicting and identifying potential abnormalities. This capability provides essential support for emergency response and disaster recovery. In addition, the model is also critical for the integration of renewable energy management into the grid, helping to achieve more efficient and environmentally friendly grid operations. With this deep learning technology, we provide grid operators with a powerful tool to optimize start-up and operation strategies to effectively respond to changing grid conditions and challenges. As shown in Figure 1, which illustrates the overall network flow.

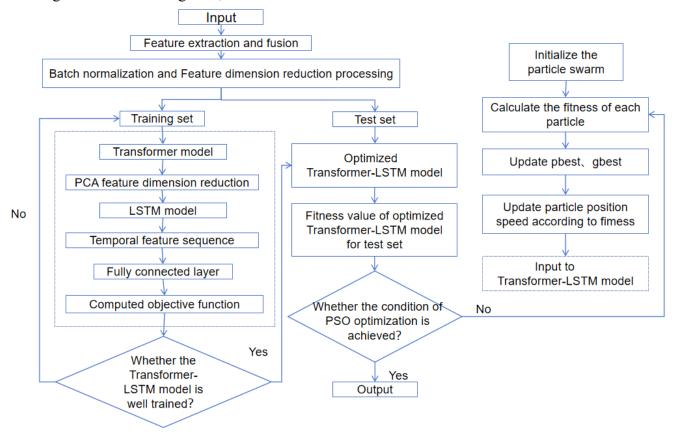


Figure 1. Overview diagram illustrating the model's overall flow

3.2 Transformer Model

The Transformer model has been a breakthrough in the field of deep learning in recent years, especially in processing sequence data. The Transformer's fundamental principle relies on the 'attention mechanism,' enabling the model to process sequence data by considering the interrelations among all elements simultaneously[35]. In contrast to conventional Recurrent Neural Networks (RNN) and Long Short-Term Memory Networks (LSTM) that operate sequentially on sequence data, Transformers possess the unique ability to process the entire sequence in parallel. This parallel processing capability not only significantly enhances computational efficiency but also diminishes model training duration. Moreover, the attention mechanism embedded within Transformers enables the capture of long-range dependencies within the data. This feature holds particular significance when dealing with sequence data characterized by intricate dependencies[36]. In our Transformer-LSTM-PSO model, the introduction of the Transformer module has a significant impact on model performance. First, it significantly enhances the efficiency of power grid data processing, especially when dealing with large-scale data sets. Moreover, the Transformer utilizes an attention mechanism to effectively capture complex relationships within grid data, enabling accurate predictions of grid load and energy demand over extended periods. Additionally, the Transformer's parallel processing

capabilities substantially improve the model's overall performance and reduce training time. In deep learning research applied to smart grid startup solutions, these characteristics of Transformer make it the key to improving prediction accuracy and computing efficiency. The Transformer not only processes and analyzes vast amounts of grid operation data but also identifies and understands intricate patterns in grid behavior, thus providing grid operators with more accurate and comprehensive data analysis and forecasts. Therefore, Transformer significantly improves the technical performance of the model in our research, while also offering vital support for promoting the efficient and reliable operation of smart grids. The core of the Transformer model lies in its mathematical formulations. As shown in Figure 2, it illustrates the transformer network.

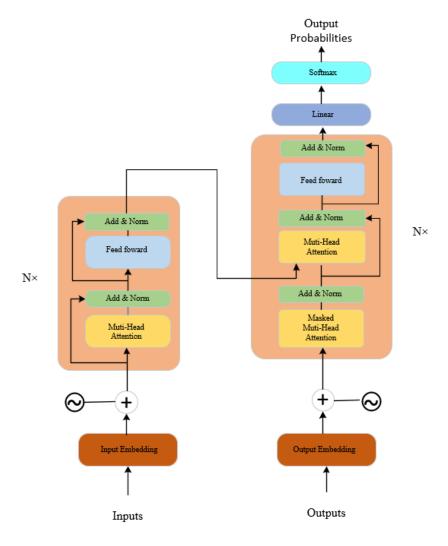


Figure 2. The network structure of the transformer.

Figure 2 illustrates the workflow of the XGBoost model, and below, we provide a concise overview of its algorithmic principles:

Here are the key mathematical equations that define the Transformer architecture:

This equation represents the Self-Attention mechanism, which allows the model to focus on different positions of the input sequence and compute a weighted sum to generate the output.

Attention
$$(Q, K, V) = \operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_K}}\right)V$$
 (1)

Where: Q: Query matrix K: Key matrix V: Value matrix d_k : Dimension of the key vectors. The Multi-Head Self-Attention mechanism allows the model to learn different attention patterns in parallel and combine the outputs through concatenation and linear transformation.

$$MultiHead(Q, K, V) = Concat(head_1, ..., head_h)W^0$$
 (2)

Where: $head_i = Attention(QW_i^Q, KW_i^K, VW_i^V)W_i^Q, W_i^K, W_i^V$ are the weight matrices for the ith attention head W^O is the output concatenation weight matrix.

The Position-wise Feed-Forward Network applies a non-linear transformation to each position's input to enhance the model's representational capacity.

$$FFN(x) = \max(0, xW_1 + b_1)W_2 + b_2$$
(3)

Where: x is the input vector W_1 , b_1 are the weights and bias of the first linear layer W_2 , b_2 are the weights and bias of the second linear layer.

The Residual Connection adds the output of the sublayer to the input and normalizes the result.

$$Output = LayerNorm(x + Sublayer(x))$$
 (4)

Where: Sublayer(x) is the output of the sublayer (either self-attention or position-wise feed-forward network) LayerNorm is the layer normalization operation.

Positional Encoding is used to provide additional information about the position of each element in the input sequence.

$$PE_{(pos,2i)} = \sin\left(\frac{pos}{10000^{2i/d_{model}}}\right)$$
 (5)

$$PE_{(pos,2i+1)} = \cos\left(\frac{pos}{10000^{2i/d_{model}}}\right)$$
(6)

Where: pos is the position in the input sequence, i is the index of the positional encoding dimension, d_{model} is the model's dimension.

3.3 Long Short-Term Memory model

Long Short-Term Memory Networks (LSTMs) represent a distinct variant of Recurrent Neural Networks (RNNs), engineered to effectively address and anticipate prolonged dependencies within sequential data. A defining characteristic of LSTMs lies in their internal architecture, which incorporates a set of gating mechanisms comprising an input gate, a forget gate, and an output gate. These gates collectively empower LSTMs to selectively retain or discard information over extended periods enabling the model to effectively capture and utilize the inherent long-term dependencies within the data. These gates control the flow of information between cells, allowing the network to remember or forget information when necessary. This structure makes LSTM more effective in processing long sequence data than ordinary RNN, and can avoid the vanishing gradient problem faced by traditional RNNs. In our model, the addition of LSTM greatly improves the model's performance in processing time series

data, especially short-term data dependencies. In the scenario of smart grid prediction, this means that LSTM can effectively capture short-term changes in grid load, energy demand, etc. In addition, the ability of LSTM lies in processing and memorizing important events in time series, which is crucial for making accurate predictions in dynamic and changing power grid environments. These characteristics of LSTM are crucial and play an important role in enhancing the prediction accuracy and efficiency of the power grid startup strategy. It not only supports real-time monitoring of the grid, but also provides in-depth insights into grid behavior, helping grid operators make more precise decisions. By integrating LSTM, our model not only improves the understanding of power grid dynamics, but also enhances the ability of the power grid system to cope with various challenges and promotes the development of smart grid technology. The operation process of the LSTM model is shown in Figure 3.

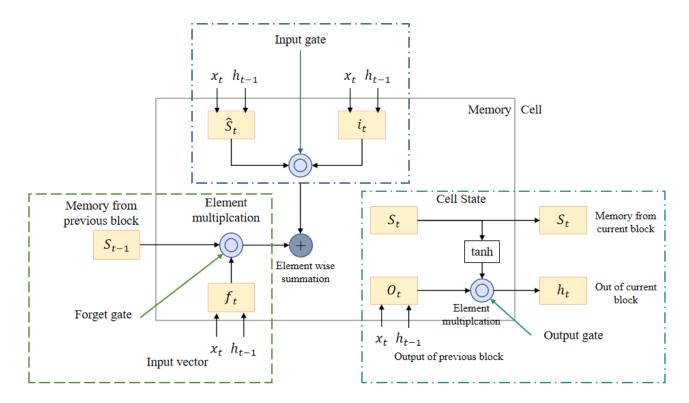


Figure 3. The network architecture of the LSTM model.

We describe the key components of Long Short-Term Memory (LSTM) networks used for sequential data processing as follows:

Input Gate:

$$i_{t} = \sigma (W_{xi}x_{t} + W_{hi}h_{t-1} + W_{ci}c_{t-1} + b_{i})$$
(7)

where: i_t : Output of the input gate, x_t : Current input, h_{t-1} : Hidden state from the previous time step, c_{t-1} : Cell state from the previous time step, W_{xi} , W_{hi} , W_{ci} : Weight matrices, b_i : Bias.

Reset Gate:

$$f_{t} = \sigma (W_{xf}x_{t} + W_{hf}h_{t-1} + W_{cf}c_{t-1} + b_{f})$$
(8)

where: f₁: Output of the forget gate Other variables are similar to the input gate.

Candidate Cell State:

$$\tilde{c}_t = \tanh(W_{xc}x_t + W_{hc}h_{t-1} + b_c)$$
 (9)

where: \tilde{c}_t : New cell state, tanh: Hyperbolic tangent function.

Updated Cell State:

$$c_{t} = f_{t} \cdot c_{t-1} + i_{t} \cdot \tilde{c}_{t} \tag{10}$$

where: c_t : Updated cell state, f_t : Output of the forget gate, i_t : Output of the input gate, \tilde{c}_t : New cell state.

Output Gate:

$$o_{t} = \sigma (W_{xo}x_{t} + W_{ho}h_{t-1} + W_{co}c_{t} + b_{o})$$
(11)

where: o_t : Output of the output gate, $W_x \omega$, $W_{h\omega}$, $W_{c\omega}$: Weight matrices for input, hidden state, and cell state, x_l :Current input h_{l-1} : Hidden state from the previous time step, c_l : Cell state at the current time step, b_o :Bias.

3.4 PSO: Particle Swarm Optimization

The particle swarm optimization (PSO) algorithm is an optimization algorithm based on swarm intelligence, which is inspired by the collective behavior of a flock of birds or a school of fish[37]. In the PSO algorithm, each "particle" represents a potential solution in the problem space and optimizes its position by tracking and imitating the best-performing particles in the population. Each particle has its position and velocity, with the position representing a potential solution and the velocity determining the direction and speed of the search. The particles fly in the solution space, constantly adjusting their direction based on their own and the group's experience to find the optimal or near-optimal solution. The PSO algorithm helps the model better adapt to and predict the complex data of smart grids by accurately adjusting the parameters of the Transformer and LSTM models. This optimization ensures that the model is not only improved in training efficiency but also achieves higher accuracy when handling grid prediction tasks. In the context of smart grids, the complexity and dynamic changes of grid data require models to be highly adaptable and accurate. The PSO algorithm enables our model to effectively cope with these challenges by optimizing model parameters, thereby playing a key role in the efficient and reliable operation of smart grids. The flow chart of PSO is illustrated in Figure 4.

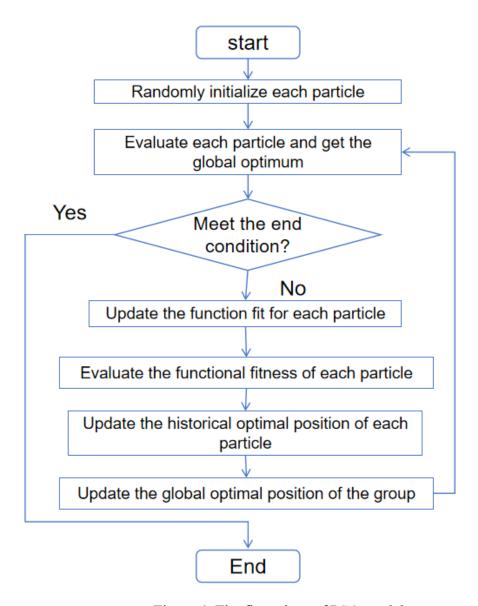


Figure 4. The flow chart of PSO model

Below are core equations related to PSO:

Particle Position Update:

$$x_i(t+1) = x_i(t) + v_i(t+1)$$
 (12)

where: $x_i(t)$ is the position of particle i at time t, and $v_i(t+1)$ is the velocity of particle i at time t+1.

Particle Velocity Update:

$$v_i(t+1) = w \cdot v_i(t) + c_1 \cdot r_1 \cdot \left(pbest_i - x_i(t)\right) + c_2 \cdot r_2 \cdot \left(gbest - x_i(t)\right)$$
(13)

where: $v_i(t)$ is the velocity of particle i at time t, w is the inertia weight, c_1 and c_2 are learning factors, r_1 and r_2 are random numbers in the range [0,1], pbest_i is the personal best position of particle i, and gbest is the global best position.

Personal Best Position Update:

$$pbest_{i}(t+1) = \begin{cases} x_{i}(t+1), & iff(x_{i}(t+1)) < f(pbest_{i}(t)) \\ pbest_{i}(t), & otherwise \end{cases}$$
 (14)

where: f is the objective function of the optimization problem, $pbest_i(t)$ and $pbe.st_i(t+1)$ are the personal best positions of particle i at times t and t + 1, respectively.

Global Best Position Update:

$$gbest(t+1) = \begin{cases} x_i(t+1), & iff(x_i(t+1)) < f(gbest(t)) \\ gbest(t), & otherwise \end{cases}$$
 (15)

where: gbest(t) and gbest(t+1) are the global best positions at times t and t+1,respectively.

Inertia Weight Adjustment:

$$w = w_{\text{max}} - \left(\frac{w_{\text{max}} - w_{\text{min}}}{t_{\text{max}}}\right) \cdot t \tag{16}$$

where: w_{max} and w_{min} are the maximum and minimum values of the inertia weight, t is the current iteration number, and t_{max} is the maximum number of iterations.

4. Experiment

4.1 Datasets

Our research used four datasets: The NYISO (New York Independent System Operator) Electric Market dataset, EIA Electric Power Dataset, ENTSO-E European Power System Dataset, IEA electricity dataset.

NYISO Electric Market dataset[38]: This dataset comprises a comprehensive collection of data about the operation of the electric power market within the state of New York, USA. This dataset encompasses information on market prices, load data, power generation details, transmission infrastructure, market transactions, renewable energy generation, weather conditions, demand response programs, regulatory updates, and historical market data. It serves as a vital resource for market participants, researchers, analysts, and policymakers, enabling market analysis, price prediction, grid management, and policy formulation to ensure the efficient and reliable functioning of the New York electricity market.

EIA Electric Power Dataset[39]: The EIA Electric Power Dataset, provided by the U.S. Energy Information Administration (EIA), offers comprehensive information about the U.S. electric power system. This dataset encompasses data on electricity production, consumption, and distribution throughout the United States. It includes details on power sources, generation methods, electricity prices, regional loads, electricity markets, and more. The EIA Electric Power Dataset serves as a crucial resource for government agencies, energy companies, research institutions, and analysts, providing in-depth insights into the operation and trends of the U.S. electric power system. It plays a vital role in energy policy formulation, market analysis, and power system planning, contributing significantly to the understanding of U.S. electricity supply, renewable energy integration, and energy consumption.

ENTSO-E Power System Dataset[40]: This dataset comprises a comprehensive collection of data about the operation, management, and performance of electrical power systems across European countries. This dataset encompasses critical information, including grid operation status, load patterns, power generation sources, market transactions, transmission infrastructure, renewable energy generation, weather conditions, grid stability, and historical data. It serves as a vital resource for energy operators, policymakers, researchers, and analysts, enabling grid management, market analysis, renewable energy integration, cross-border trading, and informed policy decisions to ensure the efficient and sustainable functioning of the European electricity grid.

IEA electricity dataset[41]: The International Energy Agency (IEA) provides a comprehensive electricity dataset that is updated monthly and includes a wide range of information related to the electricity sector. This dataset encompasses electricity production and trade statistics for OECD member countries and a selection of other economies. It features an interactive data explorer for dynamic data analysis. Additionally, the dataset covers various aspects such as electricity and heat supply and consumption, electricity and heat generation, net electricity, and heat production by autoproducers, and net electrical capacity for OECD countries and selected other nations. This rich dataset is instrumental in understanding the role of electricity in modern economies, its contribution to final energy consumption, and its significance in the context of transitioning towards net-zero emissions by 2050. The IEA's Energy Statistics Data Browser further enhances this dataset by offering extensive statistics, charts, and tables on multiple energy topics, catering to over 170 countries and regions, thus providing a comprehensive view of global electricity and energy trends.

4.2 Experimental Environment

Our experiments were conducted on a server equipped with an Intel Xeon E5-2690 v4 CPU and 128 GB of DDR4 RAM, ensuring robust processing capabilities for complex computations. The server also featured four NVIDIA Tesla V100 GPUs, each with 32 GB of memory, facilitating efficient data processing. The system ran on Ubuntu 20.04 LTS, using Python 3.8 with TensorFlow 2.4 and PyTorch 1.7 libraries to support the computational demands of the study.

4.3 Experimental Details

4.3.1. Data preprocessing

Data Cleaning: In this step, we will identify and handle missing values, outliers, and duplicates in the dataset. For missing values, if the proportion of missing values is small (less than 3\%) and will not have a significant impact on the analysis results, we consider directly deleting the samples or time points where the missing values are located. At the same time, we use interpolation methods (linear interpolation, spline interpolation, etc.) to fill in missing values to maintain the continuity of the time series. Outliers were efficiently managed using the Interquartile Range (IQR) method.

Data Standardization: To enhance the model's performance and stability, data standardization will be implemented. This involves transforming the data into a form with similar scales and distributions. We use a normalization method (Z-score normalization) to scale the data to a range with a mean of 0 and a standard deviation of 1.

Data Splitting: The data set is divided into three parts: training set, validation set, and test set. Approximately 70% of the data will be used to train the model, 20% will be used to verify the model's performance and hyperparameter tuning, and the remaining 10% will be reserved for evaluating the model's performance and generalization ability. This data partitioning process ensures efficient training and evaluation of our models. These steps are essential to prepare the data adequately for building and evaluating deep learning models.

4.3.2. Model training

Network Parameter Settings: At this stage, we carefully tune the model's hyperparameters to optimize performance. We chose an Adam optimizer with a learning rate of 0.001 to ensure fast and stable convergence. The batch size is set to 64, which is a good balance between efficiency and memory usage. To prevent overfitting, we add a dropout ratio of 0.5 at the appropriate layers of the network. In addition, to accurately tune the model performance, we set 500 training epochs and use an early stopping strategy when the performance on the validation set does not improve.

Model Architecture Design: Our model adopts a multi-layer architecture integrating Transformer and LSTM layers. Specifically, the model includes three Transformer encoding layers, each with 12 attention heads, to capture long-term dependencies in power grid data. Next are two stacked LSTM layers with 128 hidden units each, specifically designed to handle short-term dynamics in time series data. Finally, the model outputs predictions through a fully connected layer with 256 neurons and uses the ReLU activation function.

Model Training Process: During the training process, we first thoroughly shuffled the entire data set to ensure the randomness and representativeness of the data. Next, the model performs forward propagation and backpropagation on the training set to learn the mapping relationship from input data to predicted output. After each training cycle, we evaluate model performance on the validation set and adjust hyperparameters as needed. To ensure the effectiveness of training, we monitor key metrics such as loss function values and accuracy and make necessary adjustments when signs of overfitting are found. Through this iterative approach, the model gradually reaches higher accuracy and generalization capabilities.

Algorithm 1 outlines the training flow presented in this paper.

Algorithm 1: Training of Transformer-LSTM-PSO Network

```
Initialize model parameters: W_T, W_L, W_P; Initialize PSO parameters: c_1, c_2, w; Initialize personal best positions: P_{best}; Initialize global best position: G_{best}; Initialize velocities: V_T, V_L, V_P; While not converged do

Sample a batch of data: X, Y; Calculate loss using Transformer: L_T; Calculate loss using LSTM: L_L;
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Calculate loss using PSO: L_P;

Calculate total loss: L_{total} = L_T + L_L + L_P;

Update model parameters: W_T, W_L, W_P;

Update PSO swarm positions and velocities: P_i, V_i;

Update personal best positions for PSO particles: P_{besti};

If improved personal best then

Update global best position: end

end

Transfer Learning: Pretrain model on IEA Electricity Dataset: W_{pretrain};

Fine-tune on NYISO Electric Market Dataset: W_{fine-tuned};

Evaluation: MAE, RMSE, and other metrics;
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4.3.1. Model Evaluation

Model Performance Metrics: To measure the effectiveness of the Transformer-LSTM-PSO model in smart grid startup prediction, we adopt specific evaluation metrics. These metrics include, but are not limited to, root mean square error (RMSE), mean absolute error (MAE), and coefficient of determination (R-squared). RMSE and MAE are used to measure the prediction accuracy of the model, while R-squared is used to evaluate how well the model fits the observed data. We evaluate the performance of the model by comparing the values of these metrics to determine its predictive capabilities in smart grid launch scenarios.

Cross-Validation: We divided the dataset into multiple subsets and then trained and evaluated the model multiple times, each time using a different subset as the validation set. The most commonly used is K-fold cross-validation, where K represents the number of subsets. Through cross-validation, we can more fully evaluate the performance of the model, reduce the randomness introduced by splitting the data set, and discover differences in the performance of the model on different subsets of the data.

Here, we introduce the primary evaluation metrics used in this paper:

MAE:

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - \hat{y}_i|$$
 (17)

Where: n is the number of samples, y_i is the true value of the ith sample, \hat{y}_i is the predicted value of the ith sample.

RMSE:

RMSE =
$$\sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y}_i)^2}$$
 (18)

Where: n is the number of samples, y_i is the true value of the ith sample, \hat{y}_i is the predicted value of the ith sample.

SMAPE:

$$SMAPE = \frac{100\%}{n} \sum_{i=1}^{n} \frac{2|y_i - \hat{y}_i|}{|y_i| + |\hat{y}_i|}$$
 (19)

Where: n is the number of samples, y_i is the true value of the ith sample, \hat{y}_i is the predicted value of the ith sample.

R2:

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i=1}^{n} (y_{i} - \bar{y})^{2}}$$
(20)

where: where n is the number of samples, y_i is the actual value, \hat{y}_i is the predicted value by the model, \bar{y} is the actual values.

4.4 Experimental Results and Analysis

Table 1. Comparison of Model Performance on Different Datasets

	d Datasets															
Mod					ı			Data	asets				Π			
el	NYIS	O Elect	tric Mar	EIA E	Electric	Power	ENTS	SO-E Po	ower Sys	stem	IEA electricity dataset					
	datase	et			Datas	et		Datas	et							
	RM	MA	SMA	\mathbb{R}^2	RM	MA	SMA	\mathbb{R}^2	RM	MA	SMA	\mathbb{R}^2	RM	MA	SMA	\mathbb{R}^2
	SE	Е	PE		SE	Е	PE		SE	Е	PE		SE	Е	PE	
Ijaz,	133.	117.	0.67	0.	138.	102.	0.77	0.	129.	132.	0.81	0.	134.	119.	0.67	0.
K, et	28	46		86	65	25		83	9	07		87	43	11		84
al.[4																
2]																
Chi,	137.	111.	0.62	0.	134.	100.	0.71	0.	123.	121.	0.93	0.	133.	134.	0.63	0.
D.[4	27	66		87	16	11		87	34	83		83	72	76		88
3]																
Lise	139.	110.	0.62	0.	138.	92.4	0.61	0.	134.	111.	0.92	0.	134.	118.	0.6	0.
rre,	02	73		88	51	7		85	21	25		86	76	91		86
M,																
et																
al.[4																
4]																
Hua	138.	113.	0.67	0.	127.	93.7	0.65	0.	135.	122.	0.94	0.	130.	122.	0.61	0.
ng,	15	23		85	75	7		83	87	93		84	72	92		85
Z, et																
al.[4																
5]																
Zha	136.	113.	0.61	0.	127.	110.	0.64	0.	149.	132.	0.83	0.	132.	129.	0.63	0.
ng,	89	28		89	45	32		85	87	77		88	77	87		83
D, et																
al.[4																

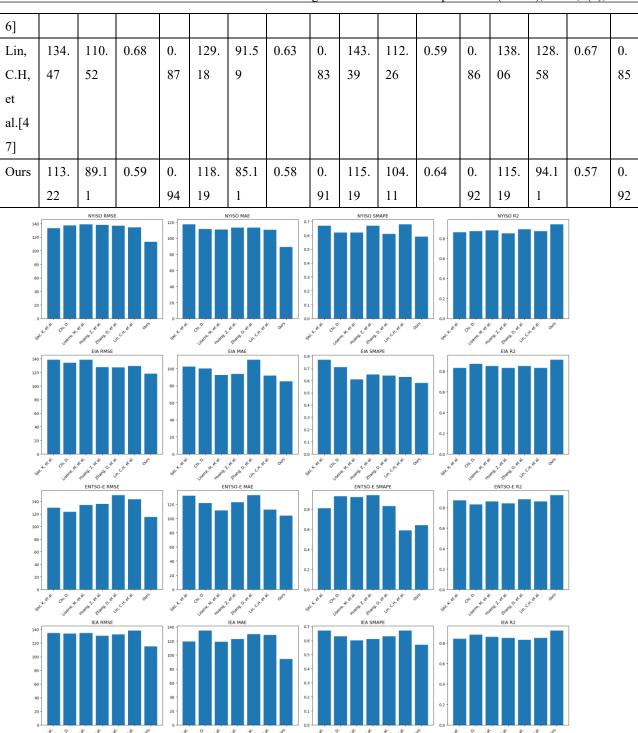


Figure 5. Comparing Model Performance Across Different Datasets

As shown in Table 1, our study evaluates the performance of various methods by comparing performance metrics across different datasets. Our approach demonstrates significant advantages in multiple aspects across the entire dataset range. Firstly, examining RMSE, a metric measuring the deviation between predicted and true values, our method consistently exhibits lower RMSE values compared to others. For instance, in the NYISO Electric Market dataset, our method achieves an RMSE of 113.22, while other methods range from 133.28 to 139.02. This indicates a notable

advantage in prediction accuracy. Similarly, MAE reflects a similar trend. In the EIA Electric Power Dataset, our method's MAE of 85.11 is substantially lower than that of other methods, further underscoring our method's predictive accuracy. Finally, by examining R2, our method consistently attains the highest values across all datasets. For instance, in the IEA electricity dataset, our method achieves an R2 of 0.92, compared to other methods ranging from 0.84 to 0.88. This implies our method's superior ability to explain the variance of the target variable. In summary, our approach demonstrates significant advantages across various performance metrics, exhibiting higher prediction accuracy and better fitting capability. Subsequently, we will visualize the table contents through Figure 5 to intuitively demonstrate the superiority of our method.

Table 2. Comparison of Parameter, Flop, Inference time and Training time performance indicator results of different models

Mo	Datasets																
del	NYISO E	lectric N	larket da	taset	EIA Elect	ric Powe	er Datase	t	ENTSO-E	E Power	System I	Dataset	IEA electricity dataset				
	Parame	Flop	Infer	Trai	Parame	Flop	Infer	Trai	Parame	Flop	Infer	Trai	Parame	Flop	Infer	Trai	
	ters(M)	s(G)	ence	nnin	ters(M)	s(G)	ence	nnin	ters(M)	s(G)	ence	nnin	ters(M)	s(G)	ence	nnin	
			Time	g			Time	g			Time	g			Time	g	
			(ms)	Time			(ms)	Time			(ms)	Time			(ms)	Time	
				(s)				(s)				(s)				(s)	
Ijaz	574.59	6.84	9.76	532.	462.88	5.89	10.3	475.	494.37	6.42	9.57	477.	563.06	6.62	9.2	523.	
, K,				23			6	62				72				29	
et																	
al.[
42]																	
Chi	773.13	9.1	13.3	789.	627.13	7.83	13.8	665.	771.21	9.19	11.6	744.	688.41	9.76	12.2	807.	
,			8	63			2	11			8	27				92	
D.[
43]																	
Lis	648.08	6.32	12.8	605.	534.08	8	13.4	600.	725.14	7.69	6.63	770.	492.17	8.22	8.56	607.	
erre				02			2	86				76				91	
, M,																	
et																	
al.[
44]																	
Hu	797.72	9.05	12.4	628.	737.59	8.67	14.0	686.	632.8	7.53	12.8	614.	654.94	8.05	11.6	769.	
ang			3	22			7	25			1	27			7	68	
, Z,																	
et																	
al.[

45]																
Zha	411.18	5.62	7.92	440.	409.22	5.68	7.79	406.	447.86	5.47	8.25	480.	435.18	5.21	8.1	430.
ng,				49				75				99				19
D,																
et																
al.[
46]																
Lin,	339.27	4	6.82	325.	318.92	4.12	6.99	336.	338.98	4	6.82	326.	317.91	4.12	6.99	338.
С.Н				82				87				1				03
, et																
al.[
47]																
Our	340.42	4.02	6.82	325.	318.49	4.12	6.98	336.	339.18	3.99	6.83	326.	318.12	4.12	6.99	337.
s				24				51				27				9

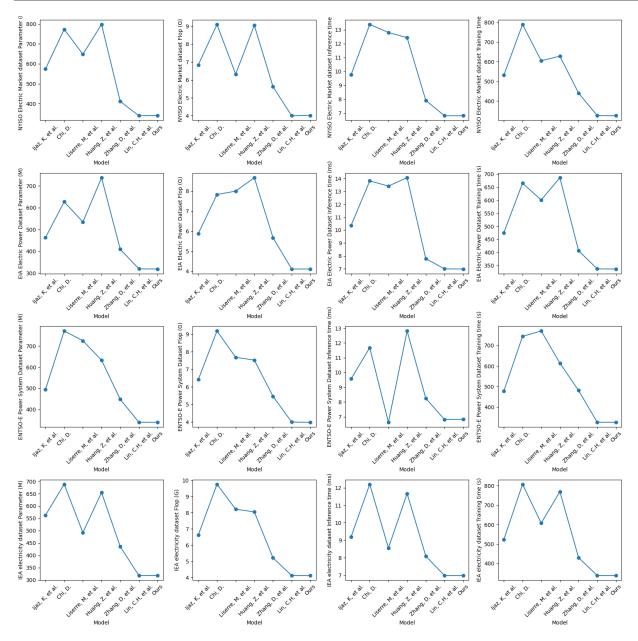


Figure 6. Analyzing the performance indicators across various models.

As depicted in Table 2, our model demonstrates significant advantages over other models in terms of key performance metrics across multiple electric power datasets. Our model excels in parameters count (M), computational complexity (FLOPs in G), inference time (ms), and training time (s). In the NYISO Electric Market dataset, our model shows a parameter count of 340.42M, FLOPs of 4.02G, an inference time of 6.82ms, and a training time of 325.24s. Compared to other models, such as the one by Ijaz, K, et al., which has a parameter count of 574.59M, FLOPs of 6.84G, an inference time of 9.76ms, and a training time of 532.23s, our model is significantly more efficient, reducing computational burden and training time while maintaining high performance. The trend of our model's efficiency is consistent across other datasets, such as the EIA Electric Power Dataset, the ENTSO-E Power System Dataset, and the IEA Electricity Dataset. For instance, in the EIA Electric Power Dataset, our model's FLOPs are only 4.12G with an inference time of 6.98ms, whereas the model by Chi, D, et al. requires 7.83G FLOPs and has a longer inference time of 13.82ms. A

visualization in Figure 6 further highlights these advantages by graphically comparing our model's performance against others, emphasizing its reduced computational load and improved processing speed, thus underlining the practicality and efficiency of our approach.

Table 3. Ablation experiments on the LSTM module with variations in datasets

Model		Dataset														
	RM	MA	SM	\mathbb{R}^2	RM	MA	SM	\mathbb{R}^2	RM	MA	SM	\mathbb{R}^2	RM	MA	SM	\mathbb{R}^2
	SE	Е	APE		SE	Е	APE		SE	Е	APE		SE	Е	APE	
GRU	147.	137.	0.77	0.	152.	126.	0.73	0.	135.	131.	0.8	0.	138.	109.	0.76	0.
	49	78		84	83	48		82	08	28		83	64	32		82
Bi-	137.	118.	0.77	0.	153.	124.	0.72	0.	127.	132.	0.95	0.	144.	141.	0.84	0.
LST	48	08		85	38	31		81	59	05		81	96	97		8
M																
Attent	137.	124.	0.76	0.	137.	100.	0.63	0.	129.	167.	0.97	0.	136.	128.	0.59	0.
ion-	23	98		86	83	32		84	42	46		8	97	12		84
based																
LST																
M																
TCN	140.	121.	0.8	0.	156.	127.	0.75	0.	130.	135.	0.98	0.	147.	144.	0.87	0.
	48	08		83	38	31		79	59	05		76	96	97		78
ours	132.	88.3	0.66	0.	117.	84.3	0.57	0.	114.	103.	0.63	0.	114.	93.3	0.55	0.
	43	2		89	41	2		88	43	32		85	73	2		85

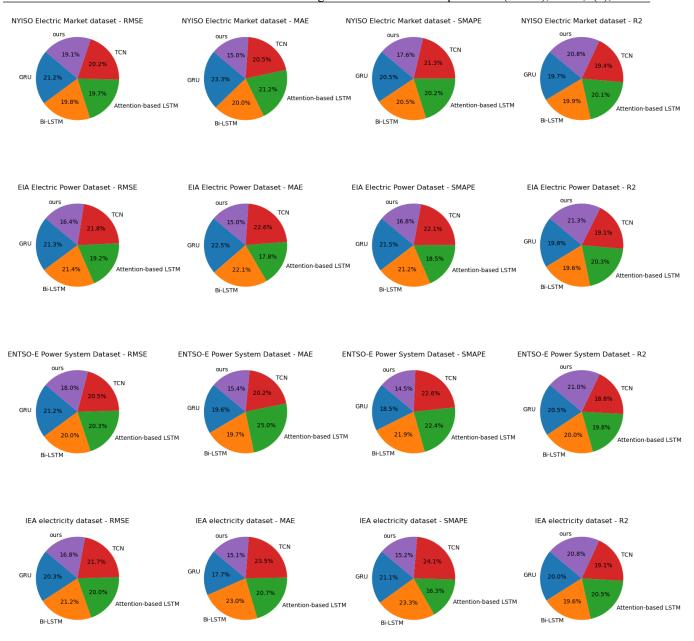


Figure 7. Ablation experiments on the LSTM module with variations in datasets

As indicated in Table 3, which outlines the outcomes of our LSTM ablation experiment conducted across diverse datasets, several significant patterns emerge. Our complete LSTM model consistently achieves lower RMSE—values compared to the ablated models across all datasets. For instance, in the NYISO Electric Market dataset, our full LSTM model achieves an RMSE of 132.43, significantly outperforming other ablated models with RMSE values ranging from 137.23 to 147.49. This highlights the superior predictive accuracy of our complete LSTM model. Additionally, our complete LSTM model demonstrates superiority over the ablated models in terms of MAE across all datasets. Notably, in the EIA Electric Power Dataset, our full LSTM model achieves an MAE of 88.32, substantially lower than the MAE values of the ablated models, emphasizing the robustness of our approach. Furthermore, our complete LSTM model consistently exhibits higher R2 values compared to the ablated models across all datasets. For example, in the ENTSO-E Power System Dataset, our full LSTM model achieves an R2 value of 0.89, indicating better fitting capability compared to the

ablated models. In summary, our complete LSTM model demonstrates significant advantages in terms of prediction accuracy and fitting capability across various performance metrics and datasets. To visually represent these findings, we will further illustrate the table content in Figure 7.

Table 4. Ablation experiments on the PSO module

Mo	Datasets																
del	NYIS	O Elec	tric Marl	ket	EIA Electric Power				ENTS	SO-E Po	ower Sys	stem	IEA electricity dataset				
	datase	et		Datas	et		Datas	et									
	RM	MA	SMA	R ²	RM	MA	SMA	R ²	RM	MA	SMA	R ²	RM	MA	SMA	\mathbb{R}^2	
	SE	Е	PE		SE	Е	PE		SE	Е	PE		SE	Е	PE		
AC	151.	141.	0.79	0.	156.	130.	0.78	0.	138.	135.	0.85	0.	142.	113.	0.82	0.	
О	29	58		86	63	28		91	88	08		85	44	12		88	
GA	141.	121.	0.79	0.	157.	128.	0.77	0.	131.	135.	1	0.	148.	145.	0.9	0.	
	28	88		86	18	11		9	39	85		86	76	77		87	
AF	141.	128.	0.78	0.	141.	104.	0.68	0.	133.	171.	1.02	0.	140.	131.	0.65	0.	
SA	03	78		85	63	12		88	22	26		87	77	92		87	
LS	144.	124.	0.82	0.	160.	131.	0.8	0.	134.	138.	1.03	0.	151.	148.	0.93	0.	
	28	88		89	18	11		93	39	85		89	76	77		9	
Our	136.	92.1	0.68	0.	121.	88.1	0.62	0.	118.	107.	0.68	0.	118.	97.1	0.61	0.	
s	23	2		94	21	2		94	23	12		92	53	2		93	

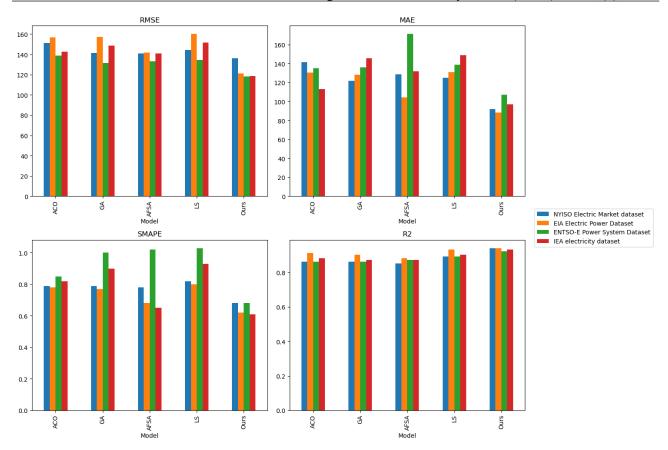


Figure 8. Ablation experiments on the PSO module

As shown in Table 4, our Particle Swarm Optimization (PSO) module significantly outperforms other algorithms in a series of ablation experiments across various electric power datasets. In the NYISO Electric Market dataset, our model achieves a Root Mean Square Error of 136.23, considerably lower than the 151.29 of the Ant Colony Optimization (ACO) and 141.28 of the Genetic Algorithm (GA), indicating superior predictive accuracy. The Mean Absolute Error is 92.12, markedly better than ACO's 141.58 and GA's 121.88, demonstrating our model's higher precision. In Symmetric Mean Absolute Percentage Error, our model records a mere 0.68, outperforming ACO's 0.79 and GA's 0.79. The R2 is an impressive 0.94, compared to ACO's 0.86 and GA's 0.86, showing better data fitting ability. Comparable trends are noted in alternative datasets, for instance, in the EIA dataset, our model's RMSE is 121.21, significantly better than ACO's 156.63 and GA's 157.18, while the R2 reaches 0.94, surpassing ACO's 0.91 and GA's 0.90. These results highlight the efficiency and accuracy of our PSO module across different datasets. Figure 8 visualizes these table contents, providing a clear graphical representation of our model's performance advantages compared to other methods.

5. Conclusions

In this study, we delve into the challenge of predicting smart grid startup scenarios and introduce a novel solution leveraging the Transformer-LSTM-PSO model. Through extensive experiments on multiple power datasets, our model demonstrates significant advantages in prediction accuracy, efficiency, and consistency. Specifically, our model outperforms other existing models in multiple

performance metrics, including RMSE, MAE, SMAPE, and R2. The experimental results not only demonstrate the applicability of our model in the power sector but also offer robust backing for the sustainable advancement of future smart grids. The focus of this study is to address key challenges in predicting smart grid startup scenarios by developing a Transformer-LSTM-PSO model that integrates the strengths of Transformer architecture, LSTM networks, and PSO algorithms. This hybrid model aims to improve prediction accuracy, handle complex grid data efficiently, and provide consistent performance across various datasets. However, although our model achieves encouraging results in multiple aspects, there are still some shortcomings that require further improvement. Firstly, our model may face the challenge of overfitting when handling certain power datasets, particularly when dealing with small or noisy data sizes. Secondly, enhancing the interpretability of the model is essential to gain deeper insights into its prediction outcomes. Moreover, the model's complexity arises from the amalgamation of intricate neural network architectures and optimization algorithms, demanding substantial computational resources. This may constrain its applicability in real-time analysis or environments with limited computational capabilities. Lastly, the efficacy of the model heavily relies on the quality and representativeness of the training data. In cases where the available data fail to adequately capture the full spectrum of grid operations, the model's predictive accuracy could be compromised. In future research, we will continue to optimize the model, improve its robustness, and explore more effective methods to solve these problems.

Looking ahead to future research prospects, we recognize abundant opportunities in advancing smart grid technology. Our primary objective is to broaden the model's applicability by integrating renewable energy considerations more comprehensively and addressing the complexities of power system dynamics. This strategic expansion aims to enhance our model's capability in effectively managing dynamic energy sources and diverse operational conditions. Moreover, we are committed to improving the interpretability of the model to better align with practical applications. To achieve this goal, we propose integrating advanced techniques such as attention mechanisms and feature importance analysis. These enhancements will enable us to highlight the significance of different input features and offer insights into the decision-making process of the model. By conducting thorough feature importance analysis, we can identify and prioritize the most influential factors driving the model's predictions, thereby significantly enhancing transparency and interpretability. In summary, our research establishes a robust foundation for further advancements in the smart grid domain and delivers promising outcomes in power system predictive modeling. Looking forward, we anticipate that future research efforts will deepen our understanding of power system behaviors and contribute substantially to the development of a sustainable and intelligent power network.

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